

# 粗糙集聚类分析对姜黄属植物数值分类的研究

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**[摘 要]** 为了为姜黄属植物分类与中药鉴定提供新的参考, 以姜黄属(*Curcuma* L.) 的 31 个材料为研究对象, 把 47 项分类性状(指标)分解为 28 个定性指标和 19 个定量指标, 将 28 个定性指标进一步分解为二元性状指标和三元性状指标, 然后各自进行粗糙集理论的处理和聚类, 把聚类结果按交集分类的办法形成定性指标综合分类的结果; 将 19 个定量指标进行粗糙集理论的处理和聚类, 把聚类结果与定性指标的分类结果按交集分类的办法形成 20 项指标综合分类的结果。结果表明, 将定性性状指标分类集与定量性状指标分类集进行交集分类, 姜黄属植物的 31 个材料可分为 10 个类群(种); 这与人前采用 47 项指标一次性完全聚类的结果存在差异, 与传统分类也不完全相同。说明粗糙集理论对于分类指标的处理, 一方面去掉了对分类没有显著作用的指标, 减少了运算; 另一方面减少了不必要指标对分类的影响, 使分类更为准确。

**[关键词]** 粗糙集; 聚类分析; 姜黄属; 数值分类

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## Study on numerical taxonomy of *Curcuma* L. by rough-set theory and cluster analysis

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**Abstract:** To provide new evidences for the classification and medicinal identification of *Curcuma* L. 31 (OTU) kinds of *Curcuma* L. were studied in this paper. 47 taxonomy characters were decomposed to 28 qualitative index and 19 quantitative index, and the qualitative characters were further decomposed to two-state characters and three-state characters, then treated by rough-set theory and clustered, respectively. The results of cluster analysis were divided into qualitative index synthesis taxonomy by intersection taxonomy. 19 quantitative characters were treated by rough-set theory and cluster analysis, and the results were classified into 20 kinds of character synthesis taxonomy by intersection taxonomy, then synthesized into 10 major kinds. The results were compared with principal 47 taxonomy characters by component and cluster analysis for made by Xiao xiao-he. The study showed that it was reasonable and accurate to decompose taxonomy character, and combining rough-set theory with cluster analysis was significant.

**Key words:** rough-set theory; cluster analysis; *Curcuma* L.; numerical taxonomy

姜科姜黄属(*Curcuma* L.) 植物全世界约 70 种, 主要分布于亚洲热带和亚热带地区。我国约 20

个分类群(含种、亚种、变种和栽培变种), 分布于东南至西南部。该属多种植物为传统中药材, 具有重

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要的经济价值<sup>[1]</sup>。由于该属植物分布广,栽培品种多,形态变异大,大部分种类带花果标本又不易采到,因而该属植物系统分类与中药品种鉴定一直很困难。由于传统分类方法的困惑,肖小河等<sup>[2]</sup>采用 47 项定性和定量指标,对国产姜黄属植物进行了较系统的分类整理,并将其分为 11 个类群(种)。但是,植物分类的指标(性状)有定性指标和定量指标,定性指标没有数量,只有性状级别的编码,而编码大小不仅无数值意义,而且是随意的。在许多研究中应用主成分分析、聚类分析时,把定性指标和定量指标组合在一起进行运算分析<sup>[3]</sup>,这既不合理,又不科学,会直接导致分类结果的不正确性。在对一定数目的对象进行分类时,分类指标的选择主要是看其对分类的重要性,而不在于分类指标越多越好,相反分类指标过多会影响分类。粗糙集理论是一门研究和处理不精确性的新兴学科<sup>[4]</sup>,它无需提供处理问题数据集合之外的任何先验知识,这也是它与模糊数学理论最主要的区别。粗糙集理论提供了严格的数学理论方法,无论是数学运算过程还是分析方法都体现了客观性和整体联系性的特点<sup>[5]</sup>。本研究将姜黄属植物的 47 项指标分为定性指标和定量指标两大类,对每一类首先用粗糙集理论进行运算,然后再分别进行聚类分析,最后将各自的聚类结果进行合并,形成 47 项综合分类结果,并与前人的研究结果进行比较,旨在为姜黄属植物数值分类以及生物的数值分类提供新的思路和方法。

## 1 材料与方法

### 1.1 材料

分类材料为我国姜黄属 16 个主要分类群及其不同产地居群和主产区大中小植株群体,共计 31 个材料(表 1)<sup>[2]</sup>。

### 1.2 观测项目

试验观察和测量了各主产区和有关植物园的活体标本,以及本研究初步建立的中国姜黄属药用植物种质资源库的全部材料,同时参考了中国科学院华南植物研究所、中国科学院昆明植物研究所、中国科学院成都生物研究所、中国科学院植物研究所、中国科学院广西植物研究所、广西药用植物园及四川省中药研究所等单位的部分腊叶标本<sup>[6-8]</sup>。以姜黄属植物 31 个材料作分类运算单位(OTU),选取其 47 个分类性状(指标),其中二元性状(two-statc character)和三元(多态)性状定性(three-statc character for qualitative multistate)指标 28 个,定

量指标 19 个,性状编码含义及取值<sup>[2]</sup>见表 2~5。

### 1.3 粗糙集理论

粗糙集理论与方法作为一门数学学科,因其特有的原理、公式和符号等较为复杂<sup>[10]</sup>,故在此不再加以阐述,只结合本研究对象进行文字性简述。

1.3.1 等价关系的划分<sup>[11]</sup> 将 31 个姜黄属植物构成的集合  $U$  称为论域。11 项二元性状定性指标和 17 项三元性状定性指标构成等价关系族  $R_1$  和  $R_2$ , 每一个指标为一个等价关系。 $U = \{e_1, e_2, e_3, \dots, e_{31}\}$ ,  $R_1 = \{H_3, H_{12}, H_{16}, H_{17}, H_{19}, H_{30}, H_{36}, H_{37}, H_{44}, H_{46}, H_{47}\}$ ,  $R_2 = \{H_1, H_2, H_5, H_6, H_7, H_8, H_{13}, H_{14}, H_{15}, H_{20}, H_{21}, H_{25}, H_{28}, H_{29}, H_{32}, H_{39}, H_{40}\}$ , 设集合  $P$  属于集合  $R_1$ , 即  $P \subseteq R_1$  且  $P \neq \emptyset$ , 则  $\cap P$  ( $P$  中所有等价关系的交集)也是一个等价关系,称为  $P$  的不可区分关系,记为  $\text{ind}(P)$  (等价关系族),利用等价关系和等价关系族对  $U$  进行划分后形成若干子集。例如,可利用  $H_1$  划分,也可利用  $P = \{H_1, H_2, H_5\}$  划分,记为  $U/H_1$  (或  $U/P$ )。

1.3.2 上近似集与下近似集 利用某个等价关系,如  $H_1$  (或某个等价关系族,如  $P$ ) 对  $U$  进行划分后形成若干子集,如果子集元素肯定属于某类群,如 3 类群,就称 3 类群的  $H_1$  (或  $P$ ) 下近似集;如果子集元素可能属于某类群,如 3 类群,就称 3 类群的  $H_1$  (或  $P$ ) 上近似集。

1.3.3 知识约简<sup>[10]</sup> 利用不同的等价关系族,如  $P_1 = \{H_5, H_6\}$ ,  $P_2 = \{H_5, H_6, H_7\}$ ,  $P_3 = \{H_5, H_6, H_7, H_8\}$  分别对  $U$  进行划分,如果  $P_2$  划分结果与  $P_3$  划分结果完全相同,则  $H_8$  是不必要属性;如果  $P_1$  划分结果与  $P_2$  划分结果不相同,则  $H_7$  是必要属性;如果  $P_2$  中每个属性均是必要的,则  $P_2$  是一个约简。令表 1 中的 16 个分类群为决策属性  $D$ , 如果  $P_1$  划分的子集能够分别属于  $D$  的子集,则称  $H_7$  为  $D$  的相对不必要属性,否则  $H_7$  为  $D$  的相对必要属性,记为  $\text{POS}_{\text{ind}(P_1)}^{(\text{ind}(D))} = \text{POS}_{\text{ind}(P_2 - (H_7))}^{(\text{ind}(D))}$ ; 如果  $P_2$  中每一个属性均是  $D$  的相对必要属性,则称  $P_1$  为  $D$  的一个相对约简,  $D$  可能有多个相对约简,所有相对约简共有的属性称为核。

以上约简的求解过程是通过每一个对象的每一个属性赋予一个信息值,形成信息表,然后通过布尔函数运算。可由  $\Delta$  的极小析取范式求出所有约简。

在 11 项二元性状定性指标和 17 项三元性状定性指标中,每个对象、每个属性赋予的一个信息值即为它们的性状编码。

表 1 用于形态数值分类的 31 个姜黄属植物材料的基本情况

Table 1 31(OUT) kinds of plants of *Curcuma* L. for modality numerical taxonomy

| 编号<br>No. | 分类群<br>Taxonomical<br>population | 植物学名<br>Name of plant                              | 材料产地及来源<br>Material producing area                                | 居群或群体类型<br>Population              |
|-----------|----------------------------------|----------------------------------------------------|-------------------------------------------------------------------|------------------------------------|
| 1         |                                  | 广西莪术<br><i>C. kwangsiensis</i>                     | 广西横县(道地产区)<br>Guangxihengxian (typical producing area)            | 大植物群体<br>Big plant population      |
| 2         | 1                                | 广西莪术<br><i>C. kwangsiensis</i>                     | 广西横县(道地产区)<br>Guangxihengxian (typical producing area)            | 中植株群体<br>Medium plant population   |
| 3         |                                  | 广西莪术<br><i>C. kwangsiensis</i>                     | 广西横县(道地产区)<br>Guangxihengxian (typical producing area)            | 小植株群体<br>Small plant population    |
| 4         | 2                                | 紫脉莪术<br><i>C. kwangsiensis</i> var. <i>affini</i>  | 广西横县(道地产区)<br>Guangxihengxian (typical producing area)            | 变种<br>Mutation                     |
| 5         |                                  | 毛莪术<br><i>C. kwangsiensis</i> var. <i>puberula</i> | 广西横县(道地产区)<br>Guangxihengxian (typical producing area)            | 变种<br>Mutation                     |
| 6         |                                  | 广西莪术<br><i>C. kwangsiensis</i>                     | 华南植物园 South China botanical garden                                | 非道地居群<br>Nonr-typical population   |
| 7         |                                  | 蓬莪术<br><i>C. phaeocaulis</i>                       | 四川双流(道地产区)<br>Sichuanshuangliu (typical producing area)           | 大植株群体<br>Big plant population      |
| 8         | 3                                | 蓬莪术<br><i>C. phaeocaulis</i>                       | 四川双流(道地产区)<br>Sichuanshuangliu (typical producing area)           | 中植株群体<br>Medium plant population   |
| 9         |                                  | 蓬莪术<br><i>C. phaeocaulis</i>                       | 四川双流(道地产区)<br>Sichuanshuangliu (typical producing area)           | 小植株群体<br>Small plant population    |
| 10        |                                  | 蓬莪术<br><i>C. phaeocaulis</i>                       | 华南植物园<br>South China botanical garden                             | 非道地居群<br>Nonr-typical population   |
| 11        |                                  | 蓬莪术<br><i>C. phaeocaulis</i>                       | 广西药用植物园<br>Guangxi medical plants garden                          | 非道地居群<br>Nonr-typical population   |
| 12        |                                  | 温郁金<br><i>C. wenyujin</i>                          | 浙江瑞安(道地产区)<br>Zhejiangrui'an (typical producing area)             | 大植株群体<br>Big plant population      |
| 13        | 4                                | 温郁金<br><i>C. wenyujin</i>                          | 浙江瑞安(道地产区)<br>Zhejiangrui'an (typical producing area)             | 中植株群体<br>Medium plant population   |
| 14        |                                  | 温郁金<br><i>C. wenyujin</i>                          | 浙江瑞安(道地产区)<br>Zhejiangrui'an (typical producing area )            | 小植株群体<br>Small plant population    |
| 15        |                                  | 温郁金<br><i>C. wenyujin</i>                          | 华南植物园<br>South China botanical garden                             | 非道地居群<br>Nonr-typical population   |
| 16        | 5                                | 毛郁金<br><i>C. aromatica</i>                         | 广西药用植物园<br>Guangxi medical plants garden                          | 非道地居群<br>Nonr-typical population   |
| 17        |                                  | 姜黄<br><i>C. longa</i>                              | 四川双流(道地产区)<br>Sichuanshuangliu (typical producing area)           | 大植株群体<br>Big plant population      |
| 18        | 6                                | 姜黄<br><i>C. longa</i>                              | 四川双流(道地产区)<br>Sichuanshuangliu (typical producing area)           | 中植株群体<br>Medium plant population   |
| 19        |                                  | 姜黄<br><i>C. longa</i>                              | 四川双流(道地产区)<br>Sichuanshuangliu (typical producing area)           | 小植株群体<br>Small plant population    |
| 20        |                                  | 姜黄<br><i>C. longa</i>                              | 华南植物园<br>South China botanical garden                             | 非道地居群<br>Nonr-typical population   |
| 21        |                                  | 姜黄<br><i>C. longa</i>                              | 广西药用植物园<br>Guangxi medical plants garden                          | 非道地居群<br>Nonr-typical population   |
| 22        | 7                                | 川郁金<br><i>C. sichuanensis</i>                      | 广西药用植物园<br>Guangxi medical plants garden                          | 非道地居群<br>Nonr-typical population   |
| 23        | 8                                | 川郁金<br><i>C. chuanyujin</i>                        | 四川双流(道地产区)<br>Sichuanshuangliu (typical producing area)           | 混合植株群体<br>Hybrid plant population  |
| 24        | 9                                | 细莪术<br><i>C. exigua</i>                            | 华南植物园(原产四川)<br>South China botanical garden (Sichuan of origin)   | 混合植株群体<br>Hybrid plant population  |
| 25        | 10                               | 黄莪术<br><i>C. zedoaria</i>                          | 华南植物园(原产美国)<br>South China botanical garden (America of origin)   | 混合植株群体<br>Hybrid plant population  |
| 26        | 11                               | 印尼莪术<br><i>C. xanthorrhiza</i>                     | 华南植物园(原产印尼)<br>South China botanical garden (Indonesia of origin) | 混合植株群体<br>Hybrid plant population  |
| 27        | 12                               | 顶花莪术<br><i>C. yunnanensis</i>                      | 华南植物园(原产云南)<br>South China botanical garden (Yunnan of origin)    | 混合植株群体<br>Hybrid plant population  |
| 28        | 13                               | 白顶莪术<br><i>C. albicoma</i>                         | 昆明植物研究所<br>Kunming Institute of Botany                            | 混合植株群体<br>Hybrid plant population  |
| 29        | 14                               | 黄花姜黄<br><i>C. flaviflora</i>                       | 昆明植物研究所<br>Kunming Institute of Botany                            | 混合植株群体<br>Hybrid plant population  |
| 30        | 15                               | 极苦姜黄<br><i>C. amarissima</i>                       | 昆明植物研究所<br>Kunming Institute of Botany                            | 混合植株群体<br>Hybrid plants population |
| 31        | 16                               | 大莪术<br><i>C. elata</i>                             | 昆明植物研究所<br>Kunming Institute of Botany                            | 混合植株群体<br>Hybrid plant population  |

表 2 姜黄属植物数值分类性状及编码

Table 2 Numerical taxonomy of character and code for *Curcuma* L.

| 编号<br>Number    | 分类性状<br>Taxonomic characters                                                          | 质量性状编码和数量性状的含意<br>Coding of quality character and meaning of the quantitative traits                  |
|-----------------|---------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| H <sub>1</sub>  | 生活状况 Living conditions                                                                | 1. 野生 Wild; 2. 栽培 Cultivation; 3. 野生兼栽培 Wild and cultivation                                          |
| H <sub>2</sub>  | 分布海拔(m) Distribution elevation                                                        | 1. 400; 2. 400~800; 3. 800                                                                            |
| H <sub>3</sub>  | 分布区类型 Distribution types                                                              | 按吴征镒教授之 15 大区系类型划分 <sup>[9]</sup><br>Division by 15 regional-type of professor Wu Zhengyi             |
| H <sub>4</sub>  | 植株高度(m) Plant height                                                                  | 按居群或标本实测平均值计<br>Calculated by measured average of populations or specimens                            |
| H <sub>5</sub>  | 主根茎发达程度<br>Degree of main root-stock developed                                        | 1. 欠发达 Underdeveloped; 2. 较发达 More developed; 3. 很发达 Advanced                                         |
| H <sub>6</sub>  | 侧根茎发达程度<br>Degree of side root-stock developed                                        | 1. 欠发达 Underdeveloped; 2. 较发达 More developed; 3. 很发达 Advanced                                         |
| H <sub>7</sub>  | 根茎断面颜色 Ccolor of roots section                                                        | 1. 黄色 Yellow; 2. 灰白色 Greyish; 3. 黑褐色 Black-brown                                                      |
| H <sub>8</sub>  | 块根发达程度 Degree of roots developed                                                      | 1. 欠发达 Underdeveloped; 2. 较发达 More developed; 3. 很发达 Advanced                                         |
| H <sub>9</sub>  | 叶片长(cm) Length of leaf                                                                | 按平均实测值计 Calculated by measured average                                                                |
| H <sub>10</sub> | 叶片宽(cm) Breadth of leaf                                                               | 按平均实测值计 Calculated by measured average                                                                |
| H <sub>11</sub> | 叶片长/ 叶片宽<br>Length of leaf / the breadth of leaf                                      | 按平均实测值计 Calculated by measured average                                                                |
| H <sub>12</sub> | 叶中脉处有无紫红晕<br>Whether there is purple red in leaf veins                                | 1. 无 No; 2. 有 Yes                                                                                     |
| H <sub>13</sub> | 叶先端形状 Shape of leaf tip                                                               | 1. 细尾状渐尖 Gradually sharpening like fine caudat; 2. 短渐尖 Short gradually sharpening; 3. 近弧形 Near camber |
| H <sub>14</sub> | 叶基部形状 Shape of leaf base                                                              | 1. 基部渐狭 Gradually narrow in base; 2. 基部楔形 Wedge in base; 3. 基部近截形 Near linear in base                 |
| H <sub>15</sub> | 叶片有无披毛及程度<br>Whether there is availability and extent of the regional capital in leaf | 1. 无 No; 2. 毛稀疏 Sparse; 3. 毛密集 Intensive                                                              |
| H <sub>16</sub> | 叶鞘颜色 Color of sheath                                                                  | 1. 绿色 Green; 2. 紫红色 Purple red                                                                        |
| H <sub>17</sub> | 叶鞘有无毛<br>Whether there is regional capital in sheath                                  | 1. 无 No; 2. 有 Yes                                                                                     |
| H <sub>18</sub> | 叶柄长(cm) Length of petiole                                                             | 按平均实测值计 Calculated by measured average                                                                |
| H <sub>19</sub> | 叶柄颜色 Color of petiole                                                                 | 1. 绿色 Green; 2. 淡紫红色 Light purple red                                                                 |
| H <sub>20</sub> | 花序抽出时序 Inflorescence out sequencers                                                   | 1. 先于叶 Grow before leaf; 2. 与叶同时 Grow the same as leaf; 3. 后于叶 Grow after leaf                        |
| H <sub>21</sub> | 花序抽出位置 Position of the inflorescence                                                  | 1. 从叶鞘抽出 From sheath; 2. 从根茎抽出 From root; 3. 二者均有 Both                                                |
| H <sub>22</sub> | 花序长度(cm) Length of inflorescence                                                      | 按平均实测值计 Calculated by measured average                                                                |
| H <sub>23</sub> | 花序直径(cm) Diameter of inflorescence                                                    | 按平均实测值计 Calculated by measured average                                                                |
| H <sub>24</sub> | 总花序梗长(cm)<br>Length of total inflorescence caulis                                     | 按平均实测值计 Calculated by measured average                                                                |
| H <sub>25</sub> | 鳞片有无及颜色<br>Availability and color scales                                              | 1. 无鳞片 No; 2. 绿色 Green; 3. 淡紫红色 Light purple red                                                      |
| H <sub>26</sub> | 苞片长度(cm) Length of bract                                                              | 按平均实测值计 Calculated by measured average                                                                |
| H <sub>27</sub> | 苞片宽度(cm) Width of bract                                                               | 按平均实测值计 Calculated by measured average                                                                |
| H <sub>28</sub> | 下部苞片颜色 Color of lower bract                                                           | 1. 淡绿色 Light green; 2. 白色 White; 3. 淡紫红 Light purple red                                              |
| H <sub>29</sub> | 上部苞片斑点颜色 Color of upper part bract                                                    | 1. 无 No; 2. 淡红色 Light red; 3. 紫红色 Purple red                                                          |
| H <sub>30</sub> | 苞片有无毛<br>Whether there is regional capital in bract                                   | 1. 无 No; 2. 有 Yes                                                                                     |
| H <sub>31</sub> | 不育苞片长(cm) Length of sterile bract                                                     | 按平均实测值计 Calculated by measured average                                                                |
| H <sub>32</sub> | 不育苞片斑点颜色<br>Color of dot sterile bracts                                               | 1. 无 No; 2. 白色 White; 3. 淡紫红色 Light purple red                                                        |
| H <sub>33</sub> | 小苞片有无及长度(cm)<br>Availability of small bract length                                    | 按平均实测值计 Calculated by measured average                                                                |
| H <sub>34</sub> | 花萼管长度(cm) Length of calyx tube                                                        | 按平均实测值计 Calculated by measured average                                                                |
| H <sub>35</sub> | 花萼管顶裂齿数<br>Top crack teeth of the calyx tube                                          | 按平均实测值计 Calculated by measured average                                                                |
| H <sub>36</sub> | 花萼有无毛<br>Whether there is regional capital in calyx                                   | 1. 无 No; 2. 有 Yes                                                                                     |
| H <sub>37</sub> | 花冠筒有无毛<br>Whether there is regional capital in corolla tube                           | 1. 无 No; 2. 有 Yes                                                                                     |
| H <sub>38</sub> | 花冠筒长(cm) Length of corolla tube                                                       | 按平均实测值计 Calculated by measured average                                                                |

续表 2 Continued of table 2

| 编号<br>Number | 分类性状<br>Taxonomic characters                    | 质量性状编码和数量性状的含意<br>Coding of quality character and meaning of the quantitative traits        |
|--------------|-------------------------------------------------|---------------------------------------------------------------------------------------------|
| H39          | 花冠筒颜色 Color of corolla tube                     | 1. 白色 White;2. 黄色 Yellow;3. 紫红色 Purple red                                                  |
| H40          | 花冠裂片颜色 Color of corolla lamination              | 1. 白色 White;2. 黄色 Yellow;3. 紫红色 Purple red                                                  |
| H41          | 花冠侧裂片长(cm)<br>Length of corolla side lamination | 按平均实测值计 Calculated by measured average                                                      |
| H42          | 花冠背裂片长(cm)<br>Length of corolla back lamination | 按平均实测值计 Calculated by measured average                                                      |
| H43          | 退化雄蕊长(cm)<br>Length of stamen degradation       | 按平均实测值计 Calculated by measured average                                                      |
| H44          | 退化雄蕊颜色<br>Color of stamen degradation           | 1. 白色至淡黄色 From white to light yellow;2. 黄色至深黄色 Form yellow to heavy yellow                  |
| H45          | 唇瓣长(cm) Length of lip petal                     | 按平均实测值计 Calculated by measured average                                                      |
| H46          | 唇瓣颜色 Color of lip petal                         | 1. 白色至淡黄色 From white to light yellow;2. 黄色至深黄色 Form yellow to heavy yellow                  |
| H47          | 花期 Florescence                                  | 1. 春夏(3~6 月) Spring and summer (March-June) ;2. 夏秋(7~9 月) Summer and autumn(July-September) |

表 3 姜黄属植物数值分类的二元性状定性指标信息值

Table 3 Information value of two-state character for numerical taxonomy qualitative character for *Curcuma* L .

| 分类运算单位<br>OUT | 性状编号 Character number |     |     |     |     |     |     |     |     |     |     |
|---------------|-----------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
|               | H3                    | H12 | H16 | H17 | H19 | H30 | H36 | H37 | H44 | H46 | H47 |
| 1             | 2                     | 1   | 1   | 2   | 1   | 1   | 1   | 2   | 1   | 1   | 1   |
| 2             | 2                     | 1   | 1   | 2   | 1   | 1   | 1   | 2   | 1   | 1   | 1   |
| 3             | 2                     | 1   | 1   | 2   | 1   | 1   | 1   | 2   | 1   | 1   | 1   |
| 4             | 2                     | 2   | 2   | 2   | 2   | 1   | 1   | 2   | 1   | 1   | 1   |
| 5             | 2                     | 1   | 1   | 2   | 1   | 1   | 1   | 2   | 1   | 1   | 1   |
| 6             | 2                     | 1   | 1   | 2   | 1   | 1   | 1   | 2   | 1   | 1   | 1   |
| 7             | 1                     | 2   | 1   | 1   | 1   | 1   | 1   | 1   | 1   | 1   | 1   |
| 8             | 1                     | 2   | 1   | 1   | 1   | 1   | 1   | 1   | 1   | 1   | 1   |
| 9             | 1                     | 2   | 1   | 1   | 1   | 1   | 1   | 1   | 1   | 1   | 1   |
| 10            | 1                     | 2   | 1   | 1   | 1   | 1   | 1   | 1   | 1   | 1   | 1   |
| 11            | 1                     | 1   | 1   | 1   | 1   | 1   | 1   | 1   | 1   | 1   | 1   |
| 12            | 1                     | 1   | 1   | 1   | 1   | 2   | 2   | 2   | 1   | 2   | 1   |
| 13            | 1                     | 1   | 1   | 1   | 1   | 2   | 2   | 2   | 1   | 2   | 1   |
| 14            | 1                     | 1   | 1   | 1   | 1   | 2   | 2   | 2   | 1   | 2   | 1   |
| 15            | 1                     | 1   | 1   | 1   | 1   | 2   | 2   | 2   | 1   | 2   | 1   |
| 16            | 2                     | 1   | 1   | 2   | 1   | 2   | 2   | 2   | 2   | 1   | 1   |
| 17            | 1                     | 1   | 1   | 1   | 1   | 2   | 1   | 1   | 2   | 1   | 2   |
| 18            | 1                     | 1   | 1   | 1   | 1   | 2   | 1   | 1   | 2   | 1   | 2   |
| 19            | 1                     | 1   | 1   | 1   | 1   | 2   | 1   | 1   | 2   | 1   | 2   |
| 20            | 1                     | 1   | 1   | 1   | 1   | 2   | 1   | 1   | 2   | 1   | 2   |
| 21            | 1                     | 1   | 1   | 1   | 1   | 2   | 1   | 1   | 2   | 1   | 2   |
| 22            | 2                     | 1   | 1   | 2   | 1   | 2   | 2   | 2   | 2   | 2   | 2   |
| 23            | 2                     | 1   | 1   | 2   | 2   | 2   | 2   | 2   | 2   | 2   | 1   |
| 24            | 2                     | 2   | 2   | 1   | 1   | 1   | 2   | 2   | 2   | 2   | 2   |
| 25            | 1                     | 2   | 2   | 1   | 2   | 1   | 1   | 1   | 1   | 1   | 1   |
| 26            | 1                     | 2   | 1   | 1   | 1   | 1   | 1   | 1   | 11  | 1   |     |
| 27            | 1                     | 2   | 2   | 1   | 1   | 1   | 1   | 1   | 1   | 1   | 1   |
| 28            | 1                     | 1   | 1   | 2   | 1   | 2   | 1   | 1   | 1   | 1   | 2   |
| 29            | 2                     | 1   | 2   | 1   | 2   | 2   | 2   | 2   | 2   | 2   | 1   |
| 30            | 1                     | 2   | 1   | 1   | 1   | 1   | 1   | 1   | 1   | 1   | 1   |
| 31            | 1                     | 1   | 1   | 2   | 1   |     | 1   | 1   | 1   | 1   | 1   |

注:H3 在本研究中只有两大区系类型:15 和 7,所以编码为 2 和 1。  
Note: Two types of H3 Flora in this paper is studied:number 15 and 7, its code is 2 and 1.

表 4 姜黄属植物数值分类的三元性状定性指标信息值

Table 4 Information value of three-state character for numerical taxonomy qualitative character for *Curcuma* L.

| 分类运算单位 | 性状编号 Character number |                |                |                |                |                |                |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |
|--------|-----------------------|----------------|----------------|----------------|----------------|----------------|----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
|        | OTU                   | H <sub>1</sub> | H <sub>2</sub> | H <sub>5</sub> | H <sub>6</sub> | H <sub>7</sub> | H <sub>8</sub> | H <sub>13</sub> | H <sub>14</sub> | H <sub>15</sub> | H <sub>20</sub> | H <sub>21</sub> | H <sub>25</sub> | H <sub>28</sub> | H <sub>29</sub> | H <sub>32</sub> | H <sub>39</sub> | H <sub>40</sub> |
| 1      | 2                     | 1              | 2              | 2              | 1              | 2              | 2              | 1               | 3               | 2               | 3               | 3               | 1               | 1               | 2               | 1               | 3               |                 |
| 2      | 2                     | 1              | 2              | 2              | 1              | 2              | 2              | 1               | 3               | 2               | 3               | 3               | 1               | 1               | 2               | 1               | 3               |                 |
| 3      | 2                     | 1              | 2              | 2              | 1              | 2              | 2              | 1               | 3               | 2               | 3               | 3               | 1               | 1               | 2               | 1               | 3               |                 |
| 4      | 2                     | 1              | 2              | 2              | 1              | 2              | 2              | 1               | 3               | 2               | 3               | 3               | 1               | 1               | 2               | 1               | 3               |                 |
| 5      | 2                     | 1              | 2              | 2              | 1              | 2              | 2              | 1               | 3               | 2               | 1               | 3               | 1               | 1               | 2               | 1               | 3               |                 |
| 6      | 1                     | 3              | 2              | 2              | 1              | 1              | 2              | 1               | 3               | 2               | 2               | 3               | 1               | 1               | 2               | 2               | 3               |                 |
| 7      | 2                     | 2              | 2              | 2              | 2              | 2              | 2              | 1               | 2               | 1               | 2               | 3               | 3               | 2               | 2               | 2               | 1               |                 |
| 8      | 2                     | 2              | 3              | 2              | 2              | 2              | 2              | 1               | 2               | 1               | 3               | 3               | 3               | 2               | 2               | 2               | 1               |                 |
| 9      | 2                     | 2              | 3              | 2              | 2              | 1              | 2              | 1               | 2               | 1               | 2               | 3               | 3               | 2               | 2               | 2               | 1               |                 |
| 10     | 3                     | 2              | 3              | 2              | 2              | 1              | 2              | 1               | 2               | 1               | 2               | 3               | 3               | 2               | 2               | 2               | 1               |                 |
| 11     | 3                     | 3              | 3              | 2              | 2              | 1              | 2              | 1               | 2               | 1               | 2               | 3               | 3               | 2               | 2               | 2               | 1               |                 |
| 12     | 2                     | 1              | 3              | 2              | 2              | 3              | 2              | 2               | 1               | 2               | 2               | 1               | 2               | 2               | 2               | 1               | 3               |                 |
| 13     | 2                     | 1              | 3              | 2              | 2              | 3              | 2              | 2               | 1               | 2               | 2               | 1               | 2               | 2               | 2               | 1               | 3               |                 |
| 14     | 2                     | 1              | 3              | 2              | 2              | 3              | 2              | 2               | 1               | 2               | 2               | 1               | 2               | 2               | 2               | 1               | 3               |                 |
| 15     | 2                     | 1              | 3              | 2              | 2              | 3              | 2              | 2               | 1               | 2               | 2               | 1               | 2               | 2               | 2               | 1               | 3               |                 |
| 16     | 1                     | 1              | 2              | 1              | 2              | 3              | 2              | 2               | 3               | 2               | 2               | 1               | 2               | 2               | 2               | 1               | 2               |                 |
| 17     | 3                     | 3              | 1              | 3              | 2              | 3              | 2              | 1               | 1               | 1               | 1               | 1               | 2               | 2               | 1               | 2               | 2               |                 |
| 18     | 3                     | 3              | 1              | 3              | 3              | 3              | 2              | 1               | 1               | 1               | 1               | 1               | 2               | 2               | 1               | 2               | 2               |                 |
| 19     | 3                     | 2              | 1              | 3              | 3              | 2              | 2              | 1               | 1               | 1               | 1               | 1               | 2               | 2               | 1               | 2               | 2               |                 |
| 20     | 3                     | 3              | 1              | 3              | 3              | 2              | 2              | 1               | 1               | 1               | 1               | 1               | 2               | 2               | 1               | 2               | 2               |                 |
| 21     | 3                     | 3              | 1              | 3              | 3              | 2              | 2              | 1               | 1               | 1               | 1               | 1               | 2               | 2               | 1               | 2               | 2               |                 |
| 22     | 3                     | 2              | 2              | 3              | 3              | 1              | 1              | 2               | 1               | 3               | 1               | 1               | 1               | 2               | 2               | 1               | 2               |                 |
| 23     | 3                     | 2              | 2              | 3              | 3              | 1              | 1              | 2               | 2               | 2               | 2               | 1               | 2               | 2               | 2               | 2               | 2               |                 |
| 24     | 1                     | 3              | 1              | 3              | 3              | 2              | 1              | 2               | 1               | 1               | 1               | 1               | 2               | 3               | 2               | 3               | 3               |                 |
| 25     | 2                     | 3              | 3              | 2              | 2              | 2              | 1              | 2               | 2               | 2               | 1               | 3               | 2               | 3               | 3               | 3               | 1               |                 |
| 26     | 2                     | 3              | 3              | 1              | 3              | 2              | 2              | 1               | 1               | 3               | 2               | 3               | 2               | 3               | 3               | 3               | 3               |                 |
| 27     | 1                     | 3              | 3              | 2              | 2              | 2              | 2              | 2               | 2               | 1               | 2               | 3               | 2               | 3               | 3               | 3               | 1               |                 |
| 28     | 1                     | 3              | 1              | 1              | 1              | 1              | 1              | 1               | 1               | 1               | 1               | 1               | 1               | 2               | 2               | 2               | 1               |                 |
| 29     | 1                     | 3              | 2              | 1              | 2              | 1              | 2              | 2               | 2               | 2               | 3               | 3               | 3               | 3               | 1               | 2               | 2               |                 |
| 30     | 1                     | 3              | 2              | 1              | 2              | 1              | 2              | 1               | 1               | 2               | 2               | 3               | 2               | 2               | 3               | 3               | 3               |                 |
| 31     | 1                     | 3              | 3              | 2              | 2              | 2              | 2              | 2               | 1               | 2               | 3               | 2               | 2               | 1               | 3               | 2               | 1               |                 |

表 5 姜黄属植物数值分类的 19 个定量性状指标值

Table 5 19 quantitative characters of numerical taxonomy for *Curcuma* L.

| 分类运算<br>单位<br>OTU | 性状编号 Character number |                |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |
|-------------------|-----------------------|----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
|                   | H <sub>4</sub>        | H <sub>9</sub> | H <sub>10</sub> | H <sub>11</sub> | H <sub>18</sub> | H <sub>22</sub> | H <sub>23</sub> | H <sub>24</sub> | H <sub>26</sub> | H <sub>27</sub> | H <sub>31</sub> | H <sub>33</sub> | H <sub>34</sub> | H <sub>35</sub> | H <sub>38</sub> | H <sub>41</sub> | H <sub>42</sub> | H <sub>43</sub> | H <sub>45</sub> |
| 1                 | 0.9                   | 40             | 10              | 4.2             | 15              | 16              | 9               | 15              | 4.1             | 3.5             | 1.5             | 0               | 1.3             | 3               | 2.3             | 1.4             | 1.6             | 1.3             | 1.6             |
| 2                 | 0.6                   | 25             | 6               | 4.2             | 8               | 13              | 7               | 10              | 3.5             | 3.1             | 1.2             | 0               | 1.1             | 3               | 1.9             | 1.1             | 1.2             | 1.0             | 1.4             |
| 3                 | 0.4                   | 14             | 5               | 2.8             | 5               | 10              | 5               | 7               | 3.0             | 2.5             | 0.8             | 0               | 0.8             | 3               | 1.5             | 0.8             | 1.0             | 0.7             | 1.0             |
| 4                 | 0.6                   | 25             | 6               | 4.2             | 13              | 14              | 7               | 11              | 3.6             | 3.5             | 1.2             | 0               | 1.1             | 3               | 2.1             | 1.2             | 1.3             | 1.1             | 1.5             |
| 5                 | 0.6                   | 23             | 7               | 3.3             | 7               | 15              | 7               | 12              | 3.6             | 3.3             | 1.3             | 0               | 1.2             | 3               | 2.0             | 1.0             | 1.2             | 1.0             | 1.5             |
| 6                 | 0.5                   | 24             | 6               | 4.4             | 8               | 13              | 7               | 10              | 3.3             | 3.0             | 1.0             | 0               | 1.0             | 3               | 1.8             | 1.2             | 1.1             | 0.9             | 1.4             |
| 7                 | 1.3                   | 50             | 16              | 3.1             | 55              | 20              | 8               | 20              | 4.1             | 3.5             | 6               | 2.8             | 1.4             | 3               | 2.8             | 2.1             | 1.7             | 2.0             | 2.5             |
| 8                 | 1.1                   | 40             | 13              | 3.1             | 35              | 15              | 7               | 15              | 3.6             | 3.0             | 5               | 2.2             | 1.2             | 3               | 2.3             | 1.8             | 1.3             | 1.8             | 2.0             |
| 9                 | 0.8                   | 25             | 8               | 3.1             | 28              | 10              | 4               | 10              | 3.0             | 2.5             | 3               | 1.6             | 10.9            | 3               | 1.8             | 1.0             | 1.2             | 1.4             | 1.5             |
| 10                | 1.0                   | 30             | 12              | 2.5             | 35              | 10              | 7               | 15              | 3.5             | 3.3             | 4               | 2.1             | 1.1             | 3               | 2.5             | 1.7             | 1.0             | 1.5             | 1.5             |
| 11                | 1.1                   | 28             | 11              | 2.6             | 33              | 12              | 6               | 12              | 3.5             | 3.1             | 5               | 2.0             | 1.0             | 3               | 2.6             | 1.6             | 1.0             | 1.5             | 1.4             |
| 12                | 1.6                   | 75             | 22              | 3.4             | 35              | 20              | 10              | 15              | 6.0             | 3.5             | 8               | 3.3             | 1.7             | 3               | 2.7             | 1.7             | 2.5             | 1.6             | 2.8             |
| 13                | 1.2                   | 55             | 18              | 3.1             | 20              | 15              | 8               | 12              | 4.5             | 3.2             | 6               | 2.9             | 1.3             | 3               | 2.3             | 1.4             | 2.0             | 1.3             | 2.5             |
| 14                | 0.7                   | 30             | 12              | 2.5             | 10              | 10              | 4               | 7               | 3.0             | 2.5             | 4               | 2.5             | 0.8             | 3               | 4.0             | 1.0             | 1.8             | 1.0             | 2.0             |
| 15                | 1.0                   | 60             | 16              | 5               | 20              | 14              | 7               | 10              | 4.5             | 3.0             | 5               | 3.0             | 1.0             | 3               | 2.4             | 1.5             | 1.9             | 1.2             | 2.3             |
| 16                | 0.9                   | 50             | 14              | 3.6             | 18              | 13              | 5               | 9               | 4.0             | 2.5             | 4               | 2.5             | 0.9             | 3               | 2.2             | 1.4             | 1.8             | 1.3             | 2.2             |

续表 5 Continued of table 5

| 分类运算<br>单位<br>OTU | 性状编号 Character number |    |     |     |     |     |     |     |     |     |     |     |     |     |     |     |     |     |     |
|-------------------|-----------------------|----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
|                   | H4                    | H9 | H10 | H11 | H18 | H22 | H23 | H24 | H26 | H27 | H31 | H33 | H34 | H35 | H38 | H41 | H42 | H43 | H45 |
| 17                | 1.5                   | 65 | 19  | 3.4 | 45  | 18  | 9   | 22  | 5.5 | 4.8 | 6.0 | 0   | 1.3 | 3   | 3.2 | 1.7 | 1.9 | 1.7 | 2.0 |
| 18                | 1.0                   | 45 | 16  | 2.8 | 33  | 15  | 7   | 16  | 4.0 | 3.2 | 4.0 | 0   | 1   | 3   | 2.8 | 1.5 | 1.5 | 1.3 | 1.5 |
| 19                | 0.6                   | 30 | 13  | 2.3 | 20  | 12  | 4   | 11  | 3.0 | 2.5 | 2.5 | 0   | 0.7 | 3   | 2.5 | 1.1 | 1.2 | 1.0 | 1.2 |
| 20                | 1.2                   | 40 | 15  | 2.4 | 30  | 13  | 5   | 14  | 5.0 | 3.0 | 4.1 | 0   | 1.1 | 3   | 2.5 | 1.3 | 1.1 | 1.3 | 1.3 |
| 21                | 1.0                   | 40 | 15  | 2.7 | 30  | 14  | 6   | 15  | 4.0 | 3.2 | 4.0 | 0   | 1.0 | 3   | 2.7 | 1.2 | 1.2 | 1.2 | 1.4 |
| 22                | 1.1                   | 50 | 17  | 2.9 | 34  | 17  | 8   | 16  | 5.0 | 3.3 | 7.1 | 2.5 | 1   | 3   | 2.8 | 1.5 | 2.0 | 1.5 | 2.1 |
| 23                | 0.9                   | 53 | 18  | 2.9 | 35  | 18  | 8   | 16  | 4.1 | 3.0 | 7.0 | 2.5 | 1.2 | 3   | 3.0 | 1.5 | 2.0 | 1.5 | 2.0 |
| 24                | 0.6                   | 20 | 6   | 3.3 | 5   | 9   | 2   | 4   | 4.0 | 1.0 | 2.0 | 2.1 | 0.9 | 2   | 1.4 | 1.0 | 1.6 | 1.0 | 1.2 |
| 25                | 1.3                   | 50 | 17  | 2.9 | 30  | 15  | 7   | 10  | 3.5 | 2.5 | 5.5 | 3.0 | 1.4 | 3   | 2.4 | 1   | 1   | 1.7 | 2.0 |
| 26                | 0.6                   | 65 | 20  | 3.3 | 45  | 15  | 11  | 18  | 6.0 | 4.0 | 7.0 | 3   | 1   | 3   | 3.5 | 2.0 | 2.1 | 2.0 | 2.5 |
| 27                | 1.1                   | 48 | 15  | 3.2 | 20  | 15  | 8   | 13  | 4.0 | 2.0 | 5   | 5   | 9   | 3   | 3.1 | 1.7 | 1.8 | 1.4 | 2.0 |
| 28                | 1.3                   | 40 | 14  | 2.9 | 16  | 17  | 6   | 17  | 3.5 | 2.2 | 3.5 | 2.8 | 1.5 | 0   | 3.0 | 2.0 | 1.5 | 2.1 | 2.0 |
| 29                | 0.5                   | 22 | 8   | 2.9 | 6   | 6   | 3   | 6   | 4.1 | 2.8 | 0   | 3.0 | 1.1 | 3   | 3.6 | 2.3 | 1.8 | 2.1 | 2.1 |
| 30                | 1.0                   | 47 | 13  | 3.6 | 45  | 16  | 7   | 17  | 5.0 | 3.5 | 6.0 | 0   | 2.0 | 3   | 3.0 | 1.5 | 1.1 | 1.4 | 1.8 |
| 31                | 1.5                   | 70 | 20  | 3.5 | 60  | 20  | 8   | 22  | 5.0 | 2.8 | 6.1 | 2.5 | 1.0 | 3   | 2.8 | 1.8 | 1.9 | 1.8 | 2.0 |

1.4 聚类分析

在粗糙集理论处理的基础上,按照二元性状定性指标和三元性状定性指标的约简指标值分别进行聚类,剔除不必要指标对聚类的影响。采用统计软件 SPSS13.0 计算。

2 结果与分析

2.1 定性指标的约简

利用区分函数<sup>[12]</sup>对姜黄属植物的二元性状定性指标信息值(表 3)进行运算,结果为  $\Delta = H_{12} \wedge H_{16} \wedge H_{17} \wedge H_{19} \wedge H_{30} \wedge H_{37} \wedge H_{47}$ ,表明这个知识表达系统只有一个约简 $\{H_{12}, H_{16}, H_{17}, H_{19}, H_{30}, H_{37}, H_{47}\}$ ,同时也是核; $H_3, H_{36}, H_{44}$ 和  $H_{46}$ 指标是不必要的,其意义是对  $U$  对象按等价关系族  $R_1$  分类时,  $H_3, H_{36}, H_{44}$ 和  $H_{46}$ 等价关系是不必要的,按  $H_{12} \wedge H_{16} \wedge H_{17} \wedge H_{19} \wedge H_{30} \wedge H_{37} \wedge H_{47}$ 分类即可,即  $U/\{H_{12}, H_{16}, H_{17}, H_{19}, H_{30}, H_{37}, H_{47}\} = U/\{H_3, H_{12}, H_{16}, H_{17}, H_{19}, H_{30}, H_{36}, H_{37}, H_{44}, H_{46}, H_{47}\}$ 。

利用区分函数对姜黄属植物的三元性状定性指标信息值(表 4)进行运算,结果为  $\Delta = H_1 \wedge H_5 \wedge H_7 \wedge H_8 \wedge H_{21}$ ,表明这个知识表达系统只有一个约简 $\{H_1, H_5, H_7, H_8, H_{21}\}$ ,其意义是对  $U$  对象按等价关系族  $R_2$  分类时,  $H_2, H_6, H_{13}, H_{14}, H_{15}, H_{20}$ ,

$H_{25}, H_{28}, H_{29}, H_{32}, H_{39}$ 和  $H_{40}$ 指标是不必要的,按  $H_1 \wedge H_5 \wedge H_7 \wedge H_8 \wedge H_{21}$ 分类即可。即  $U/\{H_1, H_5, H_7, H_8, H_{21}\} = U/\{H_1, H_2, H_5, H_6, H_7, H_8, H_{13}, H_{14}, H_{15}, H_{20}, H_{21}, H_{25}, H_{28}, H_{29}, H_{32}, H_{39}, H_{40}\}$ 。

通常采用聚类方法是对所有指标进行聚类,本研究在粗糙集理论处理的基础上,采用二元性状定性指标的必要指标(约简)和三元性状定性指标的必要指标(约简)分别对姜黄属植物 31 个材料进行聚类,结果表明,三元性状定性指标基本上聚合为 3 类: $A_1 = \{7, 9, 10, 11, 17, 18, 19, 20, 21, 22, 23, 24\}$ ,  $A_2 = \{5, 12, 13, 14, 15, 16, 25, 26, 27\}$ ,  $A_3 = \{1, 2, 3, 4, 8, 6, 28, 29, 30, 31\}$ 。

二元性状定性指标也基本上聚合为 3 类: $B_1 = \{1, 2, 3, 5, 6, 12, 13, 14, 15, 16, 22, 23, 26, 29, 30, 31\}$ ,  $B_2 = \{11, 17, 18, 19, 20, 21, 28\}$ ,  $B_3 = \{4, 7, 8, 9, 10, 24, 25, 27\}$ 。

从聚类结果来看,基本上将姜黄属植物性状相似的单位聚为一类,与传统分类大体一致。

将二元性状定性指标聚合的 3 类与三元性状定性指标聚合的 3 类进行合并,形成定性指标综合聚类结果,见表 6。由表 6 可知,姜黄属植物 31 个材料可分为 8 类。

表 6 姜黄属植物数值分类二元性状定性指标的分类集与三元性状定性指标分类集的交集分类结果

Table 6 Classification of intersection for aggregation with qualitative two-state character and three-state character of numerical taxonomy for *Curcuma* L.

| 分类集<br>Aggregation | $A_1$                                       | $A_2$                                          | $A_3$                                       |
|--------------------|---------------------------------------------|------------------------------------------------|---------------------------------------------|
| $B_1$              | $B_1 \cap A_1 = \{22, 23\}$                 | $B_1 \cap A_2 = \{5, 12, 13, 14, 15, 16, 26\}$ | $B_1 \cap A_3 = \{1, 2, 3, 6, 29, 30, 31\}$ |
| $B_2$              | $B_2 \cap A_1 = \{11, 17, 18, 19, 20, 21\}$ | $B_2 \cap A_2 = \phi$                          | $B_2 \cap A_3 = \{28\}$                     |
| $B_3$              | $B_3 \cap A_1 = \{7, 9, 10, 24\}$           | $B_3 \cap A_2 = \{25, 27\}$                    | $B_3 \cap A_3 = \{4, 8\}$                   |

2.2 定量指标的约简

根据表 5 中各项定量性状指标信息值进行布尔变量(对每一个对象的每一个定量性状赋予一个信息值),主要根据数据的集中分布特征进行分类。信息值的分级标准见表 7。根据表 7,利用表 5,形成表 8。

利用区分函数对表 8 进行运算,结果为  $\Delta = H_4 \wedge H_9 \wedge H_{10} \wedge H_{11} \wedge H_{27} \wedge H_{33} \wedge H_{38} \wedge (H_{18} \vee H_{41})$ ,表明这个知识表达系统有两个约简  $\{H_4, H_9, H_{10}, H_{11}, H_{27}, H_{33}, H_{38}, H_{18}\}$  和  $\{H_4, H_9, H_{10}, H_{11}, H_{27}, H_{33}, H_{38}, H_{41}\}$ ,  $\{H_4, H_9, H_{10}, H_{11}, H_{27}, H_{33}, H_{38}\}$  是核;  $H_{22}, H_{23}, H_{24}, H_{26}, H_{31}, H_{34}, H_{35}, H_{42}, H_{43}, H_{45}$  指标是不必要的,其意义是对  $U$  对象按等

价关系族  $R$  分类时,  $H_{22}, H_{23}, H_{24}, H_{26}, H_{31}, H_{34}, H_{35}, H_{42}, H_{43}$  和  $H_{45}$  指标是不必要的,按  $H_4 \wedge H_9 \wedge H_{10} \wedge H_{11} \wedge H_{27} \wedge H_{33} \wedge H_{38} \wedge (H_{18} \vee H_{41})$  分类即可。即  $U/\{H_4, H_9, H_{10}, H_{11}, H_{27}, H_{33}, H_{38}, H_{18}\} = U/\{H_4, H_9, H_{10}, H_{11}, H_{18}, H_{22}, H_{23}, H_{24}, H_{26}, H_{27}, H_{31}, H_{33}, H_{34}, H_{35}, H_{38}, H_{41}, H_{42}, H_{43}, H_{45}\}$ 。

利用定量约简指标 ( $\{H_4, H_9, H_{10}, H_{11}, H_{27}, H_{33}, H_{38}, H_{18}\}$ ) 进行聚类,结果姜黄属植物 31 个材料基本上聚合为 4 类:  $D_1 = \{22, 23, 13, 25, 28, 12, 31, 7, 26, 8, 15, 16, 9, 27, 17, 30, 1, 29\}$ ,  $D_2 = \{19, 20, 10, 11, 18, 21, 14\}$ ,  $D_3 = \{24\}$ ,  $D_4 = \{2, 4, 6, 3, 5\}$ 。

从聚类结果来看,基本上将姜黄属植物性状相似的单位聚为一类,与传统分类大体一致。

表 7 姜黄属植物数值分类 19 个定量性状指标信息值的分级标准

Table 7 Grading standards of 19 quantitative characters of numerical taxonomy for *Curcuma* L.

| 性状编号<br>Character No. | 指标值分级<br>Classification     | 信息值<br>Information value | 性状编号<br>Character No. | 指标值分级<br>Classification     | 信息值<br>Information value |
|-----------------------|-----------------------------|--------------------------|-----------------------|-----------------------------|--------------------------|
| H <sub>4</sub>        | H <sub>4</sub> < 1          | 1                        | H <sub>31</sub>       | H <sub>31</sub> < 3         | 1                        |
|                       | 1 ≤ H <sub>4</sub> ≤ 1.5    | 2                        |                       | 3 ≤ H <sub>31</sub> ≤ 5     | 2                        |
|                       | 1.5 < H <sub>4</sub>        | 3                        |                       | 5 < H <sub>31</sub>         | 3                        |
| H <sub>9</sub>        | H <sub>9</sub> < 30         | 1                        | H <sub>33</sub>       | H <sub>33</sub> = 0         | 1                        |
|                       | 30 ≤ H <sub>9</sub> ≤ 60    | 2                        |                       | 0 ≤ H <sub>33</sub> ≤ 3.0   | 2                        |
|                       | 60 < H <sub>9</sub>         | 3                        |                       | 3.0 < H <sub>33</sub>       | 3                        |
| H <sub>10</sub>       | H <sub>10</sub> < 10        | 1                        | H <sub>34</sub>       | H <sub>34</sub> < 1.5       | 1                        |
|                       | 10 ≤ H <sub>10</sub> ≤ 15   | 2                        |                       | 1.5 ≤ H <sub>34</sub> ≤ 2.0 | 2                        |
|                       | 15 < H <sub>10</sub>        | 3                        |                       | 2.0 < H <sub>34</sub>       | 3                        |
| H <sub>11</sub>       | H <sub>11</sub> < 3         | 1                        | H <sub>35</sub>       | H <sub>35</sub> = 1         | 1                        |
|                       | 3 ≤ H <sub>11</sub> ≤ 3.5   | 2                        |                       | H <sub>35</sub> = 2         | 2                        |
|                       | 3.5 < H <sub>11</sub>       | 3                        |                       | H <sub>35</sub> = 3         | 3                        |
| H <sub>18</sub>       | H <sub>18</sub> < 20        | 1                        | H <sub>38</sub>       | H <sub>38</sub> < 2         | 1                        |
|                       | 20 ≤ H <sub>18</sub> ≤ 40   | 2                        |                       | 2 ≤ H <sub>38</sub> ≤ 3     | 2                        |
|                       | 40 < H <sub>18</sub>        | 3                        |                       | 3 < H <sub>38</sub>         | 3                        |
| H <sub>22</sub>       | H <sub>22</sub> < 10        | 1                        | H <sub>41</sub>       | H <sub>41</sub> < 1         | 1                        |
|                       | 10 ≤ H <sub>22</sub> ≤ 20   | 2                        |                       | 1 ≤ H <sub>41</sub> ≤ 2     | 2                        |
|                       | 20 < H <sub>22</sub>        | 3                        |                       | 2 < H <sub>41</sub>         | 3                        |
| H <sub>23</sub>       | H <sub>23</sub> < 5         | 1                        | H <sub>42</sub>       | H <sub>42</sub> < 1         | 1                        |
|                       | 5 ≤ H <sub>23</sub> ≤ 10    | 2                        |                       | 1 ≤ H <sub>42</sub> ≤ 2     | 2                        |
|                       | 10 < H <sub>23</sub>        | 3                        |                       | 2 < H <sub>42</sub>         | 3                        |
| H <sub>24</sub>       | H <sub>24</sub> < 10        | 1                        | H <sub>43</sub>       | H <sub>43</sub> < 1         | 1                        |
|                       | 10 ≤ H <sub>24</sub> ≤ 20   | 2                        |                       | 1 ≤ H <sub>43</sub> ≤ 2     | 2                        |
|                       | 20 < H <sub>24</sub>        | 3                        |                       | 2 < H <sub>43</sub>         | 3                        |
| H <sub>26</sub>       | H <sub>26</sub> < 3.5       | 1                        | H <sub>45</sub>       | H <sub>45</sub> < 2         | 1                        |
|                       | 3.5 ≤ H <sub>26</sub> ≤ 5.0 | 2                        |                       | 2 ≤ H <sub>45</sub> ≤ 2.5   | 2                        |
|                       | 5.0 < H <sub>26</sub>       | 3                        |                       | 2.5 < H <sub>45</sub>       | 3                        |
| H <sub>27</sub>       | H <sub>27</sub> < 3.0       | 1                        |                       |                             |                          |
|                       | 3.0 ≤ H <sub>27</sub> ≤ 4.5 | 2                        |                       |                             |                          |
|                       | 4.5 < H <sub>27</sub>       | 3                        |                       |                             |                          |



表 8 姜黄属植物数值分类 19 个定量性状指标信息值

| Table 8 Information value of 19 quantitative characters of numerical taxonomy for <i>Curcuma</i> L. |                       |                |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |
|-----------------------------------------------------------------------------------------------------|-----------------------|----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| 分类运<br>算单位<br>OTU                                                                                   | 性状编号 Character number |                |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |                 |
|                                                                                                     | H <sub>4</sub>        | H <sub>9</sub> | H <sub>10</sub> | H <sub>11</sub> | H <sub>18</sub> | H <sub>22</sub> | H <sub>23</sub> | H <sub>24</sub> | H <sub>26</sub> | H <sub>27</sub> | H <sub>31</sub> | H <sub>33</sub> | H <sub>34</sub> | H <sub>35</sub> | H <sub>38</sub> | H <sub>41</sub> | H <sub>42</sub> | H <sub>43</sub> | H <sub>45</sub> |
| 1                                                                                                   | 1                     | 2              | 2               | 3               | 1               | 2               | 2               | 2               | 2               | 2               | 1               | 1               | 1               | 3               | 2               | 2               | 2               | 2               | 1               |
| 2                                                                                                   | 1                     | 1              | 1               | 3               | 1               | 2               | 2               | 2               | 2               | 2               | 1               | 1               | 1               | 3               | 1               | 2               | 2               | 2               | 1               |
| 3                                                                                                   | 1                     | 1              | 1               | 1               | 1               | 2               | 2               | 1               | 1               | 1               | 1               | 1               | 1               | 3               | 1               | 1               | 2               | 2               | 1               |
| 4                                                                                                   | 1                     | 1              | 1               | 3               | 1               | 2               | 2               | 2               | 2               | 2               | 1               | 1               | 1               | 3               | 2               | 2               | 2               | 2               | 1               |
| 5                                                                                                   | 1                     | 1              | 1               | 2               | 1               | 2               | 2               | 2               | 2               | 2               | 1               | 1               | 1               | 3               | 2               | 2               | 2               | 2               | 1               |
| 6                                                                                                   | 1                     | 1              | 1               | 3               | 1               | 2               | 2               | 2               | 1               | 2               | 1               | 1               | 1               | 3               | 1               | 2               | 2               | 1               | 1               |
| 7                                                                                                   | 2                     | 2              | 3               | 2               | 3               | 2               | 2               | 2               | 2               | 2               | 3               | 2               | 1               | 3               | 2               | 3               | 2               | 2               | 2               |
| 8                                                                                                   | 2                     | 2              | 2               | 2               | 2               | 2               | 2               | 2               | 2               | 2               | 2               | 2               | 1               | 3               | 2               | 2               | 2               | 2               | 2               |
| 9                                                                                                   | 1                     | 1              | 1               | 2               | 2               | 2               | 2               | 2               | 1               | 1               | 2               | 2               | 3               | 3               | 1               | 2               | 2               | 2               | 1               |
| 10                                                                                                  | 2                     | 2              | 2               | 1               | 2               | 2               | 2               | 2               | 2               | 2               | 2               | 2               | 1               | 3               | 2               | 2               | 2               | 2               | 1               |
| 11                                                                                                  | 2                     | 1              | 2               | 1               | 2               | 2               | 2               | 2               | 2               | 2               | 2               | 2               | 1               | 3               | 2               | 2               | 2               | 2               | 1               |
| 12                                                                                                  | 3                     | 3              | 3               | 2               | 2               | 2               | 2               | 2               | 3               | 2               | 3               | 3               | 2               | 3               | 2               | 2               | 3               | 2               | 3               |
| 13                                                                                                  | 2                     | 2              | 3               | 2               | 2               | 2               | 2               | 2               | 2               | 2               | 3               | 2               | 1               | 3               | 2               | 2               | 2               | 2               | 2               |
| 14                                                                                                  | 1                     | 2              | 2               | 1               | 1               | 2               | 2               | 1               | 1               | 1               | 2               | 2               | 1               | 3               | 3               | 2               | 2               | 2               | 2               |
| 15                                                                                                  | 2                     | 2              | 3               | 3               | 2               | 2               | 2               | 2               | 2               | 2               | 2               | 2               | 1               | 3               | 2               | 2               | 2               | 2               | 2               |
| 16                                                                                                  | 1                     | 2              | 2               | 3               | 1               | 2               | 2               | 1               | 2               | 1               | 2               | 2               | 1               | 3               | 2               | 2               | 2               | 2               | 2               |
| 17                                                                                                  | 2                     | 3              | 3               | 2               | 3               | 2               | 2               | 3               | 3               | 3               | 3               | 1               | 1               | 3               | 3               | 3               | 2               | 2               | 2               |
| 18                                                                                                  | 2                     | 2              | 3               | 1               | 2               | 2               | 2               | 2               | 2               | 2               | 2               | 1               | 1               | 3               | 2               | 2               | 2               | 2               | 1               |
| 19                                                                                                  | 1                     | 2              | 2               | 1               | 2               | 2               | 1               | 2               | 1               | 1               | 1               | 1               | 1               | 3               | 2               | 2               | 2               | 2               | 1               |
| 20                                                                                                  | 2                     | 2              | 2               | 1               | 2               | 2               | 2               | 2               | 2               | 2               | 2               | 1               | 1               | 3               | 2               | 2               | 2               | 2               | 1               |
| 21                                                                                                  | 2                     | 2              | 2               | 1               | 2               | 2               | 2               | 2               | 2               | 2               | 2               | 1               | 1               | 3               | 2               | 2               | 2               | 2               | 1               |
| 22                                                                                                  | 2                     | 2              | 3               | 1               | 2               | 2               | 2               | 2               | 2               | 2               | 3               | 2               | 1               | 3               | 2               | 2               | 2               | 2               | 2               |
| 23                                                                                                  | 1                     | 2              | 3               | 1               | 2               | 2               | 2               | 2               | 2               | 2               | 3               | 2               | 1               | 3               | 2               | 2               | 2               | 2               | 2               |
| 24                                                                                                  | 1                     | 1              | 1               | 2               | 1               | 1               | 1               | 1               | 2               | 1               | 1               | 2               | 1               | 2               | 1               | 1               | 2               | 2               | 1               |
| 25                                                                                                  | 2                     | 2              | 3               | 1               | 2               | 2               | 2               | 2               | 2               | 1               | 3               | 2               | 1               | 3               | 2               | 2               | 2               | 2               | 2               |
| 26                                                                                                  | 1                     | 3              | 3               | 2               | 3               | 2               | 3               | 2               | 3               | 2               | 3               | 2               | 1               | 3               | 3               | 3               | 2               | 2               | 2               |
| 27                                                                                                  | 2                     | 2              | 2               | 2               | 2               | 2               | 2               | 2               | 2               | 1               | 2               | 3               | 3               | 3               | 3               | 3               | 2               | 2               | 2               |
| 28                                                                                                  | 2                     | 2              | 2               | 1               | 2               | 2               | 2               | 2               | 2               | 1               | 2               | 2               | 2               | 3               | 2               | 2               | 2               | 3               | 2               |
| 29                                                                                                  | 1                     | 1              | 1               | 1               | 1               | 1               | 1               | 1               | 2               | 1               | 1               | 2               | 1               | 3               | 3               | 3               | 2               | 3               | 2               |
| 30                                                                                                  | 2                     | 2              | 2               | 3               | 3               | 2               | 2               | 2               | 2               | 2               | 3               | 1               | 2               | 3               | 2               | 2               | 2               | 2               | 1               |
| 31                                                                                                  | 2                     | 3              | 3               | 2               | 3               | 2               | 2               | 3               | 2               | 1               | 3               | 2               | 1               | 3               | 2               | 2               | 2               | 2               | 2               |

为了与定量指标的分类集进行合并,首先对表 6 中的小集合{22,23},{28},{25,27},{4,8}进行合并。由于在  $A_1$  中{22,23}与最近合并对象{9,10}相关系数为 0.534,而在  $B_1$  中{22,23}与最近合并对象{29}相关系数为 0.172,所以将{22,23}划入{7,9,10,24},即  $C_1 = \{7,9,10,24,22,23\}$ 。

同理,{28}在  $A_3$  中与最近合并对象{1}相关系数为 0.15,而在  $B_2$  中与最近合并对象{17}相关系数为 0.3,所以将{28}划入{11,17,18,19,20,21}

中,即  $C_2 = \{11,17,18,19,20,21,28\}$ 。

同样方法进行合并可知,{25,27}归属于{5,12,13,14,15,16,26}中,{4,8}也归属于{5,12,13,14,15,16,26}中,即  $C_3 = \{4,5,8,12,13,14,15,16,25,26,27\}$ , $C_4 = \{1,2,3,6,29,30,31\}$ 。

将定性性状指标分类集与定量性状指标分类集进行交集分类,姜黄属植物 31 个材料可分为 10 类,结果见表 9。

表 9 姜黄属植物定量性状指标与定性性状指标聚类集的交集分类结果

| Table 9 Classification of intersection for clustering of qualitative index and quantitative index for <i>Curcuma</i> L. |                                             |                                     |                         |                            |
|-------------------------------------------------------------------------------------------------------------------------|---------------------------------------------|-------------------------------------|-------------------------|----------------------------|
| 分类集<br>Aggregation                                                                                                      | $D_1$                                       | $D_2$                               | $D_3$                   | $D_4$                      |
| $C_1$                                                                                                                   | $C_1 \cap D_1 = \{9,7,22,23\}$              | $C_1 \cap D_2 = \{10\}$             | $C_1 \cap D_3 = \{24\}$ | $C_1 \cap D_4 = \phi$      |
| $C_2$                                                                                                                   | $C_2 \cap D_1 = \{17,28\}$                  | $C_2 \cap D_2 = \{11,19,18,20,21\}$ | $C_2 \cap D_3 = \phi$   | $C_2 \cap D_4 = \phi$      |
| $C_3$                                                                                                                   | $C_3 \cap D_1 = \{15,12,13,16,8,25,26,27\}$ | $C_3 \cap D_2 = \{14\}$             | $C_3 \cap D_3 = \phi$   | $C_3 \cap D_4 = \{4,5\}$   |
| $C_4$                                                                                                                   | $C_4 \cap D_1 = \{1,29,30,31\}$             | $C_4 \cap D_2 = \phi$               | $C_4 \cap D_3 = \phi$   | $C_4 \cap D_4 = \{2,3,6\}$ |

### 3 讨 论

本文在应用粗糙集理论对 47 项指标处理的基础上,利用约简后的 12 项定性性状指标综合分类和约简后的 8 项定量性状指标综合分类,并将分类集进行合并后形成 10 类(种)。这与肖小河等<sup>[2]</sup>的结果不完全一致,但在性状极为相似的单位形成的类群上极为相近,如 18,19,20,21 单位与肖小河等<sup>[2]</sup>都分别划分在一类中,还有 12,13,15,16 单位;2,3,6 单位;22,23 单位;25,27 单位都分别划分在一类中,并与传统分类<sup>[6-8,13-14]</sup>基本一致。本研究得出 21 项对分类有重要影响的指标(分类性状)为:生活状况、主根茎发达程度、根茎断面颜色、块根发达程度、叶中脉处有无紫红晕、叶鞘颜色、叶鞘有无毛、叶柄颜色、花序抽出位置、苞片有无毛、花冠筒有无毛、花期、植株高度、叶片长、叶片宽、叶片长/叶片宽、苞片宽度、小苞片有无及长度、花冠筒长、花冠侧裂片长、叶柄长,与肖小河等<sup>[2]</sup>用主成分分析得出 15 项对分类有重要影响的指标相比,两者有 10 项指标相同。

从方法角度而言,主成分分析易造成信息丢失;定性指标与定量指标不加区分、重要指标与非重要指标不加区分的完全聚类是不科学的;而粗糙集理论对于分类指标的处理,一方面去掉了对分类没有显著作用的指标,减少了运算;另一方面减少了不必要指标对分类的影响,使分类更为准确。粗糙集理论与聚类分析法结合运用是合理的,其在植物数值分类中的应用为姜黄属植物数值分类学研究提供了一条可参考的新方法。

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